**QUESTIONNAIRE FOR POLITICALLY EXPOSED PERSONS**

When establishing a business relationship with clients, and in accordance with Article 46 of the Law on Prevention of Money Laundering and Terrorist Financing (Official Gazette nmbr 108/17 and 39/19), OTP Invest Investment Fund Management Company (hereinafter: the Company) is obliged to determine whether the party is legally a representative, proxy or beneficial owner of the party of a politically exposed person, a close family member or a close associate of a politically exposed person.

Personal data (hereinafter: Data) specified in this questionnaire, the Company, as the head of personal data processing, collects and processes for the purpose of providing the service of buying and selling units in investment funds. If the investor does not wish to disclose the Information that is mandatory or discloses such inaccurate information, OTP invest may refuse to establish a business relationship with the investor. The Company may submit data to state or other bodies with public authority, in accordance with their legal powers, including, but not limited to, the Croatian Financial Services Supervisory Agency, the Croatian National Bank, the Office for the Prevention of Money Laundering and tax authorities of the Republic of Croatia. that the said authorities may, in accordance with their legal powers, make the information thus obtained available to other competent authorities. In addition, the Company may exchange Data with its contractual partners when necessary to meet the Company's legal obligations, which is explained in more detail in the Company's Personal Data Protection Policy (hereinafter: the Policy). Data are kept in accordance with the provisions of the Law on the Prevention of Money Laundering and Terrorist Financing.

The Client may at any time request access to his data collected by the Company, change of data, restriction of processing or deletion of data and may file a complaint to the Personal Data Protection Officer at the e-mail address: zastita.podataka@otpinvest.hr. All rights are listed in more detail in the Policy published on the Company's website.

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**A politically exposed person** is any natural born person who has been or has been acting in a prominent public office in a Member State or a third country for at least the past 12 months.

* **Close family members are**: spouse or common-law partner, parents, and children and their spouses or common-law partners
* **A close associate** is any natural person who is known to have joint beneficial ownership of a legal entity or legal entity or any other close business relationship with a politically exposed person or who is the sole beneficial owner of a legal entity or legal entity known to be established for the benefit of a politically exposed person.

Regarding the implementation and compliance with the provisions of the Law on the Prevention of Money Laundering and Terrorist Financing, please answer the following questions correctly:

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| --- | --- | --- | --- |
| 1 | Are you the president of a state or government, a minister, a deputy or assistant minister, a secretary of state? | YES | NO |
| 2 | Are you an elected member of the legislature? | YES | NO |
| 3 | Are you a member of the governing body of a political party? | YES | NO |
| 4 | Are you a judge of a supreme, constitutional or other senior judicial official against whose judgments, except in exceptional cases, it is not possible to use legal remedies? | YES | NO |
| 5 | Are you a judge of audit courts or a member of a central bank board? | YES | NO |
| 6 | Are you an ambassador, consul, charge d'affaires or senior officer of the armed forces? | YES | NO |
| 7 | Are you a member of the board of directors or supervisory board of a legal entity owned or majority owned by the state? | YES | NO |
| 8 | Are you a mayor, mayor, prefect or their deputy elected on the basis of the law governing local elections in the Republic of Croatia? | YES | NO |
| 9 | Are you a director, deputy director, board member, or a person performing an equivalent function in an international organization? | YES | NO |
| 10 | Are you perhaps a close family member of the above / above mentioned persons: |  |  |
| 1. spouse
 | YES | NO |
| 1. extramarital partner
 | YES | NO |
| 1. the child or the spouse of the child or the extramarital partner of the child
 | YES | NO |
| 1. parent
 | YES | NO |
| 11 | Are you a close associate of the above / aforementioned person who is known to have joint beneficial ownership of a legal entity or legal entity or any other close business relationship with a politically exposed person or who is the sole beneficial owner of a legal entity or legal entity known to be that they are established for the benefit of a politically exposed person? | YES | NO |
| 12 | In case you are a politically exposed person please specify: |
| 1. the date of appointment or the time of performing the prominent public office:
 |  |
| 1. the source of assets and assets that are or will be the subject of a business relationship or transaction:
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By signing this Questionnaire:

* I confirm that the information provided in it is true and I authorize the Company to verify all information provided here
* I give my unequivocal, irrevocable and explicit consent to the Company to take all actions related to the processing and exchange of my personal data listed in this Questionnaire, in accordance with the provisions of the General Regulation on Data Protection
* I declare that I agree that the Company may store data from this form in its databases, that it may exchange them with its contractual partners, and companies that are proprietary to the Company.
* that I am aware of the purpose of collecting my personal data, ie that the Company collects them for the purpose prescribed by law
* that I am familiar with the identity of the controller of personal data processing - the Company, the purpose of the processing for which the data is intended and that the controller informed me about the categories of recipients of personal data and the possible consequences of denial of dana
* that I undertake to notify the Company of any change in any personal data that I have made available to you by signing this document and authorized to use

|  |  |
| --- | --- |
| Client’s name and surname: |  |
| PIN: |  |
| Client's signature: |  |
| Place and date: |  |